

## Durham Research Online

---

### Deposited in DRO:

31 March 2010

### Version of attached file:

Published Version

### Peer-review status of attached file:

Peer-reviewed

### Citation for published item:

Belolipetsky, M. and Jones, G. (2005) 'A bound for the number of automorphisms of an arithmetic Riemann surface.', Mathematical proceedings of the Cambridge Philosophical Society., 138 (2). pp. 289-299.

### Further information on publisher's website:

<http://dx.doi.org/10.1017/S0305004104008035>

### Publisher's copyright statement:

Copyright © Cambridge University Press 2005. This paper has been published by Cambridge University Press in Mathematical proceedings of the Cambridge Philosophical Society (138:2 (2003) 289-299)  
<http://journals.cambridge.org/action/displayAbstract?fromPage=onlineaid=283520>

### Additional information:

---

## Use policy

The full-text may be used and/or reproduced, and given to third parties in any format or medium, without prior permission or charge, for personal research or study, educational, or not-for-profit purposes provided that:

- a full bibliographic reference is made to the original source
- a [link](#) is made to the metadata record in DRO
- the full-text is not changed in any way

The full-text must not be sold in any format or medium without the formal permission of the copyright holders.

Please consult the [full DRO policy](#) for further details.

## A bound for the number of automorphisms of an arithmetic Riemann surface

By MIKHAIL BELOLIPETSKY

*Sobolev Institute of Mathematics, Novosibirsk, 630090, Russia.*  
*e-mail: mbel@math.nsc.ru*

AND GARETH A. JONES

*Faculty of Mathematical Studies, University of Southampton, Southampton, SO17 1BJ.*  
*e-mail: gaj@maths.soton.ac.uk*

(Received 16 December 1999; revised 2 December 2003)

### *Abstract*

We show that for every  $g \geq 2$  there is a compact arithmetic Riemann surface of genus  $g$  with at least  $4(g - 1)$  automorphisms, and that this lower bound is attained by infinitely many genera, the smallest being 24.

---

### 1. Introduction

Schwarz [17] proved that the automorphism group of a compact Riemann surface of genus  $g \geq 2$  is finite, and Hurwitz [10] showed that its order is at most  $84(g - 1)$ . This bound is sharp, by which we mean that it is attained for infinitely many  $g$ , and the least genus of such an extremal surface is 3. However, it is also well known that there are infinitely many genera for which the bound  $84(g - 1)$  is not attained. It therefore makes sense to consider the maximal order  $N(g)$  of the group of automorphisms of any Riemann surface of genus  $g$ . Accola [1] and Maclachlan [14] independently proved that  $N(g) \geq 8(g + 1)$ . This bound is also sharp, and according to p. 93 of [2], Paul Hewitt has shown that the least genus attaining it is 23. Thus we have the following sharp bounds for  $N(g)$  with  $g \geq 2$ :

$$8(g + 1) \leq N(g) \leq 84(g - 1).$$

We now consider these bounds from an arithmetic point of view, defining arithmetic Riemann surfaces to be those which are uniformized by arithmetic Fuchsian groups. The motivation for this approach can be found in the works of Borel, Margulis and others on arithmetic groups. Concerning Riemann surfaces with large groups of automorphisms, the surprising fact, which can easily be seen, is that all the extremal surfaces for Hurwitz's upper bound are arithmetic, whereas all the extremal surfaces for the Accola–Maclachlan lower bound are non-arithmetic. This raises the natural question: “What can be said about the other two bounds?”

The non-arithmetic analog of Hurwitz's upper bound, obtained by the first author in [3], is  $156(g-1)/7$ ; this bound is sharp, and the least genus attaining it is 50. The aim of the current paper is to obtain an arithmetic analog of the Accola–Maclachlan lower bound, namely that for each  $g \geq 2$  there is an arithmetic surface of genus  $g$  with  $4(g-1)$  automorphisms, and that this bound is attained for infinitely many  $g$ , starting with 24.

We now collect these results together: defining  $N_{\text{ar}}(g)$  and  $N_{\text{na}}(g)$  to be the maximal orders of the automorphisms groups of the arithmetic and non-arithmetic surfaces of genus  $g$  respectively, for sufficiently large  $g$  we have sharp bounds

$$4(g-1) \leq N_{\text{ar}}(g) \leq 84(g-1),$$

$$8(g+1) \leq N_{\text{na}}(g) \leq \frac{156}{7}(g-1).$$

In Section 2 we recall the basic facts about Riemann surfaces and arithmetic groups. Section 3 contains the proof of the  $4(g-1)$  lower bound, with a number of additional remarks. Finally, in Section 4 we use our proof of the  $4(g-1)$  bound to describe an infinite set of genera for which the bound is attained, and to prove that the least genus attaining the bound is 24.

## 2. Basic facts

In this section we recall some definitions and basic properties of Riemann surfaces and arithmetic groups. For more information about Riemann surfaces and Fuchsian groups see [7, 11]. The basic references for quaternion algebras and arithmetic groups are [12, 20].

*Definition 2.1.* A *Riemann surface* is a connected one-dimensional complex analytic manifold. An *automorphism* of a Riemann surface is an analytic mapping of the surface onto itself.

In this paper we shall consider only compact Riemann surfaces of genus  $g \geq 2$ . By the uniformization theorem [7, chapter IV] each such surface  $\mathcal{S}$  can be represented as the quotient space  $\mathcal{H}/\Gamma_{\mathcal{S}}$ , where  $\mathcal{H}$  is the hyperbolic plane and  $\Gamma_{\mathcal{S}}$  is a cocompact torsion-free discrete subgroup of the group  $\text{Isom}^+(\mathcal{H}) = PSL(2, \mathbf{R})$  of orientation-preserving isometries of  $\mathcal{H}$ . This group  $\Gamma_{\mathcal{S}}$ , called the *surface group* corresponding to  $\mathcal{S}$ , is unique up to conjugacy in  $PSL(2, \mathbf{R})$  and is finitely generated.

Discrete subgroups of  $PSL(2, \mathbf{R})$  are called *Fuchsian groups*. Each cocompact Fuchsian group  $\Gamma$  has a *signature*  $\sigma = (g; m_1, \dots, m_k)$ , where  $g$  is a non-negative integer, equal to the genus of  $\mathcal{H}/\Gamma$ , and each  $m_j$  is an integer greater than 1, indicating a cone-point of order  $m_j$  in  $\mathcal{H}/\Gamma$ . This signature corresponds to the canonical presentation for  $\Gamma$ :

$$\Gamma(g; m_1, \dots, m_k) = \left\langle \alpha_1, \beta_1, \dots, \alpha_g, \beta_g, \gamma_1, \dots, \gamma_k \mid \prod_{i=1}^g [\alpha_i, \beta_i] \prod_{j=1}^k \gamma_j = 1, \gamma_j^{m_j} = 1 \right\rangle.$$

If  $g = 0$  we shall omit  $g$  from  $\sigma$ , and write  $(m_1, \dots, m_k)$ .

We define  $\mu(\Gamma)$  to be the hyperbolic measure of  $\mathcal{H}/\Gamma$ ; it can be expressed in terms of the signature:

$$\mu(\Gamma) = \mu(g; m_1, \dots, m_k) = 2\pi \left( 2g - 2 + \sum_{j=1}^k \left( 1 - \frac{1}{m_j} \right) \right). \quad (1)$$

By the Riemann–Hurwitz formula if  $\Gamma'$  is a subgroup of index  $n$  in  $\Gamma$  we have:

$$\mu(\Gamma') = n \cdot \mu(\Gamma).$$

The automorphisms of a Riemann surface  $\mathcal{S}$  lift to the isometries of  $\mathcal{H}$  normalizing the surface group  $\Gamma_{\mathcal{S}}$ , so  $\mathcal{S}$  has automorphism group

$$\text{Aut}(\mathcal{S}) \cong N(\Gamma_{\mathcal{S}})/\Gamma_{\mathcal{S}}$$

where  $N(\Gamma_{\mathcal{S}})$  is the normalizer of  $\Gamma_{\mathcal{S}}$  in  $PSL(2, \mathbf{R})$ .

In our investigations we often need to construct a Riemann surface  $\mathcal{S}$  with a given Fuchsian group  $\Gamma$  normalizing its surface group  $\Gamma_{\mathcal{S}}$ . In order to do this one has to find a torsion-free normal subgroup of finite index in  $\Gamma$ , or equivalently to find an epimorphism from  $\Gamma$  onto some finite group  $G$  with a torsion-free kernel. We call such an epimorphism a *surface-kernel epimorphism*, or *SKE* for short. In these circumstances  $\text{Aut}(\mathcal{S})$  has a subgroup isomorphic to  $G$ . It is known that in any Fuchsian group all elements of finite order are conjugate to powers of the elliptic generators in a canonical presentation of the group. Hence, in order to verify that a given epimorphism is a SKE, one has only to check that the orders of these generators are preserved.

Now we introduce a special class of Riemann surfaces, which we call arithmetic surfaces.

*Definition 2.2.* (See [4, 12, 15, 18, 20].) Let  $A = (\frac{a,b}{k})$  be a quaternion algebra over a totally real number field  $k$ , such that there is an isomorphism  $\rho$  from  $(\frac{a,b}{\mathbf{R}})$  to the matrix algebra  $M_2(\mathbf{R})$  and such that  $(\frac{\sigma(a), \sigma(b)}{\mathbf{R}}) \cong \mathbf{H}$  (Hamilton's quaternions) for every non-identity Galois monomorphism  $\sigma: k \rightarrow \mathbf{R}$ . Let  $\mathcal{O}$  be an order in  $A$ , and let  $\mathcal{O}^1$  be the group of elements of norm 1 in  $\mathcal{O}$ . Then any subgroup of  $PSL(2, \mathbf{R})$  which is commensurable with the image in  $PSL(2, \mathbf{R})$  of some such  $\rho(\mathcal{O}^1)$  is called an *arithmetic Fuchsian group*.

Arithmeticity is invariant under conjugation in  $PSL(2, \mathbf{R})$ , so the following definition is valid:

*Definition 2.3.* A Riemann surface is *arithmetic* if it is uniformized by an arithmetic Fuchsian group. All other Riemann surfaces are *nonarithmetic*.

We finish this section with some examples of arithmetic Fuchsian groups and Riemann surfaces.

*Example 2.1.* *Triangle groups* are Fuchsian groups which have signatures of the form  $(m_1, m_2, m_3)$ . Triangle groups with a given signature are conjugate in  $PSL(2, \mathbf{R})$  (this fails for most other signatures), so either all of them or none of them are arithmetic. Takeuchi first proved that there are only finitely many signatures of arithmetic triangle groups, and gave the complete list of them in [18]; particularly

important examples for us are the signatures  $(2, 3, 7)$  and  $(2, 4, 5)$ . In order to obtain this result Takeuchi used an arithmeticity test which he introduced in the same paper.

*Example 2.2.* The orientation-preserving subgroup of the group generated by reflections in the sides of a right-angled hyperbolic pentagon  $\Pi$  is a Fuchsian group  $\Gamma$  of signature  $(2, 2, 2, 2, 2)$ . If  $\Pi$  can be subdivided into  $n$  congruent triangles, so that whenever two triangles have a common side they are symmetric with respect to that side, then  $\Gamma$  is a subgroup of index  $n$  in the corresponding triangle group. In particular, if this triangle group is arithmetic then so is  $\Gamma$ . For instance, one can barycentrically subdivide an equilateral right-angled pentagon into 10 triangles with angles  $\pi/2$ ,  $\pi/4$  and  $\pi/5$ , and so obtain a  $(2, 2, 2, 2, 2)$ -subgroup of index 10 in the arithmetic  $(2, 4, 5)$ -group. However, it is worth noting that among the arithmetic groups of a given signature there may also be maximal Fuchsian groups, and these can not be obtained as subgroups of arithmetic triangle groups.

*Example 2.3.* All surfaces of genus  $g$  with  $84(g-1)$  automorphisms (such as Klein's quartic) are arithmetic, since they are uniformized by finite index subgroups of the  $(2, 3, 7)$  triangle group, which is arithmetic.

### 3. The main results

LEMMA 3.1. *Let  $\{\mathcal{S}_g\}_{g \in \mathcal{G}}$  be an infinite sequence of arithmetic surfaces of different genera  $g$ , such that for each  $g \in \mathcal{G}$  the group of automorphisms of  $\mathcal{S}_g$  has order  $a(g+b)$  for some fixed  $a$  and  $b$ . Then  $b = -1$ .*

*Proof.* Let  $\mathcal{S}$  be a surface from the given sequence. Since  $\text{Aut}(\mathcal{S}) \cong N(\Gamma_{\mathcal{S}})/\Gamma_{\mathcal{S}}$ , the Riemann–Hurwitz formula gives

$$\mu(N(\Gamma_{\mathcal{S}})) = \frac{\mu(\Gamma_{\mathcal{S}})}{|\text{Aut}(\mathcal{S})|} = \frac{2\pi(2g-2)}{a(g+b)},$$

so  $\mu(N(\Gamma_{\mathcal{S}})) \rightarrow 4\pi/a$  as  $g \rightarrow \infty$ .

Since  $\Gamma_{\mathcal{S}}$  is an arithmetic Fuchsian group,  $N(\Gamma_{\mathcal{S}})$  is also arithmetic. Borel [4] showed that the measures of arithmetic groups form a discrete subset of  $\mathbf{R}$ , so for all but finitely many  $g \in \mathcal{G}$  we have

$$\frac{2\pi(2g-2)}{a(g+b)} = \frac{4\pi}{a},$$

and from this it follows that  $b = -1$ .

As an immediate consequence of Lemma 3.1 we deduce that the Accola–Maclachlan lower bound for  $N(g)$  cannot be attained by infinitely many arithmetic surfaces. In fact, since the extremal surfaces for this bound are uniformized by surface subgroups of  $(2, 4, 2(g+1))$ -groups with  $g \geq 24$  [14], and these are not arithmetic by [18], it is never attained by arithmetic surfaces.

It also follows from Lemma 3.1 that the infinite sequences of Riemann surfaces with automorphism groups of order  $8(g+1)$ ,  $8(g+3)$ , etc., as studied by Accola [1], Conder and Kulkarni [5], Maclachlan [14] and others, can be constructed only in non-arithmetic situations.

We now come to the central question of this paper, which is to find a sharp lower bound for  $N_{\text{ar}}(g)$ .

LEMMA 3.2.  $N_{\text{ar}}(g) \geq 4(g-1)$  for all  $g \geq 2$ .

*Proof.* Let  $\Gamma = \langle \gamma_1, \dots, \gamma_5 \mid \gamma_j^2 = \gamma_1 \cdots \gamma_5 = 1 \rangle$  be an arithmetic group with signature  $(2, 2, 2, 2, 2)$  (see Example 2.2). Consider the homomorphism  $\theta$  from  $\Gamma$  to the dihedral group  $G = D_{2(g-1)} = \langle a, b \mid a^{2(g-1)} = b^2 = (ab)^2 = 1 \rangle$  of order  $4(g-1)$  defined by  $\gamma_j \mapsto ab, b, a^{g-2}b, b, a^{g-1}$  for  $j = 1, \dots, 5$ . It is easy to verify that  $\theta$  is a SKE. The kernel  $K = \ker(\theta)$  is therefore a surface group, and the surface  $\mathcal{S} = \mathcal{H}/K$  is arithmetic since  $K$  is a finite index subgroup of the arithmetic group  $\Gamma$ . Since  $\mu(\Gamma) = \pi$  and  $|G| = 4(g-1)$ , the Riemann–Hurwitz formula gives  $\mu(K) = \mu(\Gamma)|G| = 2\pi(2g-2)$  and so  $\mathcal{S}$  has genus  $g$ . Since  $\text{Aut}(\mathcal{S}) \geq \Gamma/K \cong G$  it follows that  $N_{\text{ar}}(g) \geq |G| = 4(g-1)$ .

THEOREM 3.1.  $N_{\text{ar}}(g) \geq 4(g-1)$  for all  $g \geq 2$ , and this bound is attained for infinitely many values of  $g$ .

*Proof.* The inequality in the statement of the theorem was proved in the previous lemma, so it remains to show that the bound is sharp. Suppose that  $G := \text{Aut}(\mathcal{S})$  has order  $|G| > 4(g-1)$  for some compact arithmetic surface  $\mathcal{S}$  of genus  $g \geq 2$ . We will successively impose a set of conditions on  $g$  which lead to a contradiction, and then show that infinitely many values of  $g$  satisfy these conditions.

By our hypothesis,  $G \cong \Gamma/K$  for some cocompact arithmetic group  $\Gamma$  and normal surface subgroup  $K = \Gamma_{\mathcal{S}}$  of  $\Gamma$ , with

$$4\pi(g-1) = \mu(K) = |G|\mu(\Gamma) > 4(g-1)\mu(\Gamma), \quad (2)$$

so  $\mu(\Gamma) < \pi$ . Borel’s discreteness theorem [4] implies that there are only finitely many measures of cocompact arithmetic groups  $\mu(\Gamma) < \pi$ , and then formula (1) for  $\mu(\Gamma)$  shows that these correspond to a finite set  $\Sigma$  of signatures, all of genus 0 and with either three or four elliptic periods.

For each  $\sigma \in \Sigma$ , the number  $q = \mu(\Gamma)/4\pi$  is rational and depends only on the signature  $\sigma$  of  $\Gamma$ , so write  $q = r/s = r_{\sigma}/s_{\sigma}$  in reduced form, and let  $R = \text{lcm}\{r_{\sigma} \mid \sigma \in \Sigma\}$ . By (2) we have  $|G| = (g-1)/q = (g-1)s/r$  for some such  $q$ . Since  $|G|$  is an integer, if we choose  $g$  so that  $g-1$  is coprime to  $R$  then for surfaces of genus  $g$  we have  $r = 1$  and  $|G| = (g-1)s$ .

Suppose that  $g-1$  is a prime  $p > S$ , where  $S = \max\{s_{\sigma} \mid \sigma \in \Sigma, r_{\sigma} = 1\}$ . Then  $|G| = ps$  with  $s$  coprime to  $p$  and less than  $p+1$ , so Sylow’s Theorems imply that  $G$  has a normal Sylow  $p$ -subgroup  $P \cong C_p$ . Let  $\Delta$  denote the inverse image of  $P$  in  $\Gamma$ , a normal subgroup of  $\Gamma$  with  $\Gamma/\Delta \cong Q := G/P$  of order  $s$ . Let  $\Pi$  denote the finite set of primes which divide an elliptic period  $m_j$  of some signature  $\sigma \in \Sigma$  with  $r_{\sigma} = 1$ . If we take  $p \notin \Pi$ , then the natural epimorphism  $G \rightarrow Q$  preserves the orders of the images of all elliptic generators of  $\Gamma$ ; the inclusion  $K \leq \Delta$  therefore induces a smooth  $p$ -sheeted covering  $\mathcal{S} \rightarrow \mathcal{T} = \mathcal{H}/\Delta$  of surfaces, so  $\Delta$  is a surface group of genus  $1 + (g-1)/p = 2$ . Thus  $Q$  is a group of automorphisms of a Riemann surface  $\mathcal{T}$  of genus 2, so  $Q$  is one of a known finite list of groups (for instance,  $|Q| \leq 48$ ). Let  $E$  denote the least common multiple of the exponents of all the groups of automorphisms of Riemann surfaces of genus 2. (All we need here is the fact that  $E$  is finite and even.)

Since  $\Delta/K \cong P \cong C_p$  it follows that  $K$  contains the subgroup  $\Delta'\Delta^p$  generated by the commutators and  $p$ th powers in  $\Delta$ , so  $P$  is isomorphic (as a  $\mathbf{Z}_p Q$ -module) to a 1-dimensional quotient of the  $\mathbf{Z}_p Q$ -module  $\Delta/\Delta'\Delta^p$ , where the action of  $Q$  is induced by conjugation in  $\Gamma$ . Now  $\Delta$  is isomorphic to the fundamental group  $\pi_1(\mathcal{T})$  of  $\mathcal{T}$ , so  $\Delta/\Delta'$  is isomorphic (as a  $\mathbf{Z}Q$ -module) to its first integer homology group  $H_1(\mathcal{T}, \mathbf{Z}) \cong \pi_1(\mathcal{T})^{\text{ab}}$ , and hence  $\Delta/\Delta'\Delta^p$  is isomorphic (as a  $\mathbf{Z}_p Q$ -module) to  $H_1(\mathcal{T}, \mathbf{Z}_p) \cong H_1(\mathcal{T}, \mathbf{Z}) \otimes \mathbf{Z}_p$ ; since  $\mathcal{T}$  has genus  $\gamma = 2$ , this has dimension  $2\gamma = 4$  as a vector space over  $\mathbf{Z}_p$ . Since  $p$  does not divide  $s = |Q|$ , Maschke's Theorem [8, I.17.7] implies that  $H_1(\mathcal{T}, \mathbf{Z}_p)$  is a direct sum of irreducible submodules. Now  $H_1(\mathcal{T}, \mathbf{C}) = H_1(\mathcal{T}, \mathbf{Z}) \otimes \mathbf{C}$  is a direct sum of two  $Q$ -invariant subspaces, corresponding under duality to the holomorphic and antiholomorphic differentials in  $H^1(\mathcal{T}, \mathbf{C})$ , and these afford complex conjugate representations of  $Q$  [16]. It follows that there are just three possibilities for  $H_1(\mathcal{T}, \mathbf{Z}_p)$ : it may be irreducible, a direct sum of two irreducible 2-dimensional submodules, or a direct sum of four irreducible 1-dimensional submodules. Since  $H_1(\mathcal{T}, \mathbf{Z}_p)$  has a 1-dimensional quotient, only the last of these three cases can arise. We have  $p > 2$  (since  $p > S \geq 2$ ), so a theorem of Serre [7, V.3.4] implies that  $Q$  is faithfully represented on  $H_1(\mathcal{T}, \mathbf{Z}_p)$ ; thus  $Q \leq GL_1(p)^4 \cong (C_{p-1})^4$ , so  $Q$  has exponent  $e$  dividing  $p - 1$ . Since  $e$  also divides  $E$ , if we choose  $p$  so that  $\gcd(p - 1, E) = 2$  then  $e$  must divide 2. Since  $\Delta$  is a surface group, the natural epimorphism  $\Gamma \rightarrow \Gamma/\Delta \cong Q$  is a SKE, so each elliptic period of  $\Gamma$  is equal to 2. However, as noted earlier,  $\Gamma$  is a cocompact Fuchsian group of genus 0 with at most four elliptic periods, so this contradicts the fact that  $\mu(\Gamma) > 0$ .

It remains to check that there are infinitely many values of  $g$  satisfying the above conditions, namely that  $g - 1$  is a prime  $p$  where  $p > S$ ,  $p \notin \Pi$ ,  $p$  is coprime to  $R$ , and  $\gcd(p - 1, E) = 2$ . Dirichlet's Theorem implies that there are infinitely many primes  $p$  satisfying the last condition (for instance, primes  $p \equiv -1 \pmod{E}$ ), and all but finitely many satisfy the other three conditions (since  $\Pi$  is finite), so the proof is complete.

*Remark 3.1.* In this proof arithmeticity is used only to show that there are just finitely many signatures  $\sigma$  that can occur. It follows that there are similar results for other classes of groups with this finiteness property.

*Remark 3.2.* For our chosen values of  $g$ , the bound  $4(g - 1)$  is attained only by dihedral quotients of  $\Gamma = \Gamma(2, 2, 2, 2, 2)$ , as in Lemma 3.2. To see this, repeat the proof of Theorem 3.1, but starting with  $|\text{Aut}(\mathcal{S})| \geq 4(g - 1)$  instead of strict inequality. We eventually find that  $\Gamma = \Gamma(2, 2, 2, 2, 2)$  or  $\Gamma = \Gamma(1; 2) = \langle \alpha, \beta, \gamma \mid \gamma^2 = [\alpha, \beta]\gamma = 1 \rangle$ ; since  $Q$  is abelian (having exponent 2), all commutators in  $G$  lie in  $P$  and hence there is no SKE from  $\Gamma(1; 2)$  onto  $Q$ . Thus  $\Gamma = \Gamma(2, 2, 2, 2, 2)$ . The Riemann–Hurwitz formula gives  $|Q| = \mu(\Delta)/\mu(\Gamma) = 4\pi/\pi = 4$ , so  $Q \cong V_4$  (a Klein four-group). Since  $\text{Aut}(C_p) \cong C_{p-1}$  the only extensions of  $C_p$  by  $V_4$  are  $C_p \times V_4$  and  $D_p \times C_2 \cong D_{2p}$ ; there is no epimorphism  $\Gamma(2, 2, 2, 2, 2) \rightarrow C_p$ , so we must have  $G \cong D_{2p} = D_{2(g-1)}$ .

*Remark 3.3.* Theorem 3.1 has another more elementary proof. Let us start with the sequence of genera in [14] which attain the Accola–Maclachlan bound. These have the form  $g = 89p + 1$ , with the prime  $p$  satisfying five additional conditions. As mentioned earlier, the extremal surfaces of these genera are uniformized by surface subgroups of the  $(2, 4, 2(g + 1))$  triangle groups, which are non-arithmetic, so for such

$g$  we have  $4(g-1) \leq N_{\text{ar}}(g) < N(g) = 8(g+1)$ . There are only finitely many signatures  $\sigma$  with  $\mu(2, 4, 2(g+1)) < \mu(\sigma) < \mu(2, 2, 2, 2, 2)$  which can correspond to arithmetic groups, and so may be considered as candidates for giving a better lower bound for  $N_{\text{ar}}(g)$ . Using the Riemann–Hurwitz formula one can apply divisibility arguments to exclude those signatures which do not have surface subgroups of genus  $89p+1$ . Together with known information about arithmetic groups of signature  $(2, 2, 2, n)$  [15, 19] and arithmetic triangle groups [18], this gives the following list of candidates:  $(2, 5, 20)$ ,  $(2, 6, 12)$ ,  $(2, 8, 8)$ ,  $(3, 4, 6)$ ,  $(4, 4, 4)$ ,  $(2, 2, 2, 4)$ ,  $(2, 7, 14)$ ,  $(2, 9, 18)$ ,  $(2, 12, 12)$ ,  $(3, 4, 12)$ ,  $(3, 6, 6)$ ,  $(4, 4, 6)$ ,  $(2, 2, 2, 6)$ ,  $(2, 2, 3, 3)$ ,  $(2, 15, 30)$ ,  $(5, 5, 5)$ ,  $(2, 2, 2, 10)$ . Using a case-by-case argument, one can show if  $p$  is a sufficiently large prime then no group  $\Gamma$  of such a signature can have a normal surface subgroup of genus  $g = 89p+1$ . If we also impose the conditions on  $p$  given in [14] then we obtain a sequence of genera  $g$  for which the arithmetic bound  $4(g-1)$  is sharp.

In this approach one needs only Sylow’s Theorems and some other basic facts about finite groups, but the proof is rather routine and not very straightforward: it is easy to handle the signatures with large elliptic periods, but it becomes more complicated when the periods are small. The most challenging case is when  $\sigma = (2, 2, 2, 4)$ . The other reason why we prefer our initial proof of Theorem 3.1 will be clear after the next section, where we find the minimal genus for which our bound is attained.

#### 4. Extremal surfaces

In this section we shall first use the proof of Theorem 3.1 to produce a specific set of genera  $g$  attaining our lower bound for  $N_{\text{ar}}(g)$ . We shall then strengthen the arguments in order to consider smaller  $g$ , and finally determine the least genus for which  $N_{\text{ar}}(g) = 4(g-1)$ .

To begin with, let us see which signatures actually form the set  $\Sigma$  corresponding to the cocompact arithmetic groups  $\Gamma$  with  $\mu(\Gamma) < \pi$ . Firstly, almost all of the cocompact arithmetic triangle groups in Takeuchi’s list [18] are contained in  $\Sigma$ . Simple calculations show that the other possible signatures are  $(2, 2, 2, n)$  for  $n \geq 3$ ,  $(2, 2, 3, 3)$ ,  $(2, 2, 3, 4)$  and  $(2, 2, 3, 5)$ . The arithmetic groups of signature  $(2, 2, 2, n)$  with odd  $n$  were determined by Maclachlan and Rosenberger [15]. For even  $n$ , groups of signature  $(2, 2, 2, n)$  have a subgroup of index 2 isomorphic to a  $(1; n/2)$ -group, and the list of arithmetic groups of signature  $(1; n/2)$  was obtained by Takeuchi [19]. Combining these results we find that only 12 signatures of the form  $(2, 2, 2, n)$  yield arithmetic groups. It is a matter of direct verification whether or not there are arithmetic groups of the remaining three signatures, but since this does not affect our arguments we shall ignore this point and include them in the Table of Signatures  $\sigma \in \Sigma$  given at the end of the paper.

Now inspecting this list  $\Sigma$  of possible signatures, one can use the proof of Theorem 3.1 to produce specific values of  $g$  attaining the lower bound  $N_{\text{ar}}(g) \geq 4(g-1)$ . For instance, we see that  $R = 2^2 \cdot 3 \cdot 5 \cdot 7$ , so a prime  $p$  is coprime to  $R$  provided  $p > 7$ . Inspection also shows that  $\Pi = \{2, 3, 5, 7\}$ , so  $p \notin \Pi$  if and only if  $p > 7$ . We also have  $S = 84$ , so we need  $p > 84$  for the proof of the Theorem to work (though it can be adapted to apply to certain smaller primes, as we shall see). A standard result [7, V.1.11] states that a Riemann surface of genus  $\gamma \geq 2$  has no automorphisms of prime order greater than  $2\gamma+1$ , so taking  $\gamma = 2$  we see that  $E$  is divisible only by the



primes 2, 3 and 5; hence the condition  $\gcd(p-1, E) = 2$  is satisfied by all odd  $p$  such that  $p-1$  is not divisible by 3, 4 or 5, that is,  $p \equiv 23, 47$  or  $59 \pmod{60}$ . It follows that for all such primes  $p > 84$ , our bound is attained by  $g = p+1$ . The smallest prime in this sequence is  $p = 107$ , giving  $g = 108$ .

If we inspect  $\Sigma$  more closely, and use other group-theoretic techniques in addition to Sylow's Theorems, we can find smaller values of  $g$  attaining our bound. The basic idea is that, in order to show that  $G$  has a normal Sylow  $p$ -subgroup of order  $p$ , we replace the rather crude sufficient condition  $p > S$  with a more careful analysis of the possibilities for a group of order  $ps$ . We use the fact that (according to the Table of Signatures in the Appendix) the largest possible values of  $s$  (for  $r = 1$ ) are  $s = 84, 48, 40, 36, 30$ , corresponding to the arithmetic groups of signatures  $(2, 3, 7)$ ,  $(2, 3, 8)$ ,  $(2, 4, 5)$ ,  $(2, 3, 9)$ ,  $(2, 3, 10)$  respectively, followed by  $s = 24$  corresponding to  $(2, 3, 12)$  and  $(2, 4, 6)$ , and then  $s = 21$  corresponding to  $(2, 3, 14)$ .

*Example 4.1.* Let  $p = 59$ , so  $g = 60$ . We follow the proof of Theorem 3.1, amending it where necessary for this particular prime  $p$ . Since  $p > 7$ ,  $p$  is coprime to  $R$  and hence  $|G| = (g-1)s = 59s$ . No possible value of  $s$  is divisible by 59 (see the Table), so a Sylow 59-subgroup  $P$  of  $G$  has order 59. The number of Sylow 59-subgroups divides  $s$  and is congruent to 1 mod (59); this immediately implies that there is only one such subgroup, so  $P$  is normal in  $G$ . For the rest of the proof, it is sufficient to note that  $p = 59$  satisfies  $p \notin \Pi$  and  $\gcd(p-1, E) = 2$ , so  $g = 60$  attains the lower bound.

*Example 4.2.* Let  $p = 47$ . Once again  $p > 7$ ,  $p$  is coprime to  $R$ , and  $|G| = (g-1)s = 47s$ . A Sylow 47-subgroup  $P$  of  $G$  has order 47 since there is no value of  $s$  divisible by 47. We need to show that  $P$  is normal in  $G$ , so suppose not. The number  $n_{47}$  of Sylow 47-subgroups divides  $s$  and is congruent to 1 mod (47), so (by inspection)  $n_{47} = s = 48$ . This means that  $P = N_G(P)$ , so  $G$  permutes its 48 Sylow 47-subgroups by conjugation as a Frobenius group. A theorem of Frobenius [8, V.7.6, V.8.2] implies that  $G$  has a normal subgroup  $N$  of order 48 (the Frobenius kernel), so  $\Gamma$  has an epimorphism onto  $G/N \cong C_{47}$ . However,  $s = 48$  implies that  $\Gamma$  is the triangle group  $\Gamma(2, 3, 8)$ , so no such epimorphism exists, and hence  $P$  is normal in  $G$ . The rest of the proof is the same, so the lower bound is attained for  $g = 48$ . This method also deals with  $g = 84$ , using  $p = 83$  and  $\Gamma = \Gamma(2, 3, 7)$ .

*Example 4.3.* Let  $p = 23$ . As with  $p = 47$ , the only place where the proof of Theorem 3.1 fails is that Sylow's Theorems are not strong enough to prove that a Sylow 23-subgroup  $P$  of  $G$  is normal and has order 23. By inspection of  $\Sigma$ , no possible value of  $s$  is divisible by 23, so  $|P| = 23$ . Similarly, if  $P$  is not normal, then there must be  $n_{23} = 24$  Sylow 23-subgroups, with  $s = 24$  or  $48$ , so  $|G| = 24 \cdot 23$  or  $48 \cdot 23$ . In either case,  $G$  permutes its Sylow 23-subgroups by conjugation as a transitive permutation group  $\tilde{G}$  of degree 24. In fact,  $\tilde{G}$  is doubly transitive, since  $P$  must have a single orbit of length 23 on the remaining Sylow 23-subgroups: if it normalized a Sylow  $p$ -subgroup  $P^* \neq P$ , then  $PP^*$  would be a subgroup of  $G$  of order  $23^2$ . Thus  $|\tilde{G}|$  is divisible by  $24 \cdot 23$ , and it divides  $|G|$ , so  $|\tilde{G}| = 24 \cdot 23$  or  $48 \cdot 23$ . In the first case,  $\tilde{G}$  is sharply 2-transitive, which is impossible since such groups all have prime-power degree [9, XII.9.1]. In the second case, since a point-stabilizer must act as  $D_{23}$ ,  $\tilde{G}$  is a Zassenhaus group with two-point stabilizers of even order

( $= 2$ ); Zassenhaus showed that such groups of degree  $n$  have two-point stabilizers of order at least  $(n-2)/2$  [9, XI.1.10], so this case is also impossible. (Alternatively, the classification of finite simple groups implies that the doubly transitive finite groups are all known [6]: those of degree 24 are the symmetric group  $S_{24}$ , the alternating group  $A_{24}$ , the Mathieu group  $M_{24}$ , the projective general linear group  $PGL(2, 23)$ , and the projective special linear group  $PSL(2, 23)$ , all of which have order greater than  $48 \cdot 23$ .) Thus  $P$  is normal in  $G$ , as required, so our lower bound is attained for  $g = 24$ . We will now show that this is the least genus for which the bound is attained.

In [13], Kazaz classified the elementary abelian coverings of the regular hypermaps of genus 2. In terms of Fuchsian groups and Riemann surfaces, his results include the following consequences. Suppose that  $\Gamma \geq \Delta \geq K$  where  $\Gamma$  is a triangle group,  $\Delta$  is a normal surface subgroup of genus 2, and  $K$  is a normal subgroup of  $\Gamma$  of prime index  $p$  in  $\Delta$  (so  $K$  is a surface group of genus  $g = p+1$ , and  $G = \Gamma/K \leq \text{Aut}(\mathcal{H}/K)$ ). Then we have the following possibilities for  $\Gamma$ ,  $Q = \Gamma/\Delta$ ,  $s = |Q|$  and  $p$  (all of which occur):

- (a)  $\Gamma = \Gamma(2, 8, 8)$ ,  $Q = C_8$ ,  $s = 8$ ,  $p \equiv 1 \pmod{8}$  or  $p = 2$ ;
- (b)  $\Gamma = \Gamma(4, 4, 4)$ ,  $Q = Q_8$ ,  $s = 8$ ,  $p = 2$ ;
- (c)  $\Gamma = \Gamma(2, 4, 8)$ ,  $Q = SD_8$ ,  $s = 16$ ,  $p = 2$ ;
- (d)  $\Gamma = \Gamma(5, 5, 5)$ ,  $Q = C_5$ ,  $s = 5$ ,  $p \equiv 1 \pmod{5}$  or  $p = 5$ ;
- (e)  $\Gamma = \Gamma(2, 5, 10)$ ,  $Q = C_{10}$ ,  $s = 10$ ,  $p \equiv 1 \pmod{5}$  or  $p = 5$ ;
- (f)  $\Gamma = \Gamma(3, 6, 6)$ ,  $Q = C_6$ ,  $s = 6$ ,  $p \equiv 1 \pmod{6}$  or  $p = 3$ ;
- (g)  $\Gamma = \Gamma(2, 6, 6)$ ,  $Q = C_6 \times C_2$ ,  $s = 12$ ,  $p \equiv 1 \pmod{6}$  or  $p = 3$ .

(Here  $Q_8$  is the quaternion group  $\langle a, b \mid a^4 = 1, a^2 = b^2, ba = a^{-1}b \rangle$  of order 8, and  $SD_8$  is the semidihedral group  $\langle a, b \mid a^8 = b^2 = 1, ba = a^3b \rangle$  of order 16.)

These triangle groups  $\Gamma$  are all arithmetic, so if  $g = p+1$  for any of the above primes  $p$  then  $N_{\text{ar}}(g) \geq sp > 4(g-1)$ . Among the genera  $g < 24$ , those covered by this result are  $g = 3, 4, 6, 8, 12, 14, 18$  and  $20$ . To show that each odd  $g = 2m+1$  satisfies  $N_{\text{ar}}(g) \geq 6(g-1)$ , we can use a SKE from  $\Gamma = \Gamma(2, 2, 2, 6)$  onto  $S_3 \times D_m$ . This leaves  $g = 2, 10, 16$  and  $22$  among the genera  $g < 24$ . A SKE  $\Gamma(2, 3, 8) \rightarrow GL(2, 3)$  shows that  $N_{\text{ar}}(2) \geq 48$  (in fact,  $N_{\text{ar}}(2) = N(2) = 48$ ). For  $g = 10$  we can use a SKE from  $\Gamma(2, 2, 2, 4)$  onto a split extension of  $C_3 \times C_3$  by  $D_4$ , giving  $N_{\text{ar}}(10) \geq 72 = 8(g-1)$ . We see in case (g) that if  $\Gamma = \Gamma(2, 6, 6)$  then  $\Delta$  contains normal surface subgroups  $K_3$  and  $K_7$  of  $\Gamma$ , of index 3 and 7 in  $\Delta$ ; then  $K = K_3 \cap K_7$  is a normal surface subgroup of  $\Gamma$  of index 21 in  $\Delta$ , so  $N_{\text{ar}}(22) \geq 252 = 12(g-1)$ . Finally, for  $g = 16$  we have a SKE from  $\Gamma(3, 3, 4)$  onto the alternating group  $A_6$ , which gives  $N_{\text{ar}}(16) \geq 24(16-1)$ .

We summarize the results of this section in the following statement:

**THEOREM 4.1.** *For all primes  $p \equiv 23, 47$  or  $59 \pmod{60}$  we have*

$$N_{\text{ar}}(g) = 4(g-1),$$

*where  $g = p+1$ . The least genus  $g$  for which  $N_{\text{ar}}(g) = 4(g-1)$  is  $g = 24$ .*

*Acknowledgements.* The authors would like to thank A. D. Mednykh for suggesting this problem, and C. Maclachlan for some very helpful discussions.

Appendix. *Table of signatures  $\sigma \in \Sigma$*

$\sigma$	$\mu(\Gamma)$	$s/r$	$\sigma$	$\mu(\Gamma)$	$s/r$
(2,3,7)	$\pi/21$	84	(3,3,4)	$\pi/6$	24
(2,3,8)	$\pi/12$	48	(3,3,5)	$4\pi/15$	15
(2,3,9)	$\pi/9$	36	(3,3,6)	$\pi/3$	12
(2,3,10)	$2\pi/15$	30	(3,3,7)	$8\pi/21$	21/2
(2,3,11)	$5\pi/33$	132/5	(3,3,8)	$5\pi/12$	48/5
(2,3,12)	$\pi/6$	24	(3,3,9)	$4\pi/9$	9
(2,3,14)	$4\pi/21$	21	(3,3,12)	$\pi/2$	8
(2,3,16)	$5\pi/24$	96/5	(3,3,15)	$8\pi/15$	15/2
(2,3,18)	$2\pi/9$	18	(3,4,4)	$\pi/3$	12
(2,3,24)	$\pi/4$	16	(3,4,6)	$\pi/2$	8
(2,3,30)	$4\pi/15$	15	(3,4,12)	$2\pi/3$	6
(2,4,5)	$\pi/10$	40	(3,5,5)	$8\pi/15$	15/2
(2,4,6)	$\pi/6$	24	(3,6,6)	$2\pi/3$	6
(2,4,7)	$3\pi/14$	56/3	(3,6,18)	$8\pi/9$	9/2
(2,4,8)	$\pi/4$	16	(3,8,8)	$5\pi/6$	24/5
(2,4,10)	$3\pi/10$	40/3	(4,4,4)	$\pi/2$	8
(2,4,12)	$\pi/3$	12	(4,4,5)	$3\pi/5$	20/3
(2,4,18)	$7\pi/18$	72/7	(4,4,6)	$2\pi/3$	6
(2,5,5)	$\pi/5$	20	(4,4,9)	$7\pi/9$	36/7
(2,5,6)	$4\pi/15$	15	(4,5,5)	$7\pi/10$	40/7
(2,5,8)	$7\pi/20$	80/7	(4,6,6)	$5\pi/6$	24/5
(2,5,10)	$2\pi/5$	10	(5,5,5)	$4\pi/5$	5
(2,5,20)	$\pi/2$	8	(2,2,2,3)	$\pi/3$	12
(2,5,30)	$8\pi/15$	15/2	(2,2,2,4)	$\pi/2$	8
(2,6,6)	$\pi/3$	12	(2,2,2,5)	$3\pi/5$	20/3
(2,6,8)	$5\pi/12$	48/5	(2,2,2,6)	$2\pi/3$	6
(2,6,12)	$\pi/2$	8	(2,2,2,7)	$5\pi/7$	28/5
(2,7,7)	$3\pi/7$	28/3	(2,2,2,8)	$3\pi/4$	16/3
(2,7,14)	$4\pi/7$	7	(2,2,2,9)	$7\pi/9$	36/7
(2,8,8)	$\pi/2$	8	(2,2,2,10)	$4\pi/5$	5
(2,8,16)	$5\pi/8$	32/5	(2,2,2,12)	$5\pi/6$	24/5
(2,9,18)	$2\pi/3$	6	(2,2,2,14)	$6\pi/7$	14/3
(2,10,10)	$3\pi/5$	20/3	(2,2,2,18)	$8\pi/9$	9/2
(2,12,12)	$2\pi/3$	6	(2,2,2,22)	$10\pi/11$	22/5
(2,12,24)	$3\pi/4$	16/3	(2,2,3,3)	$2\pi/3$	6
(2,15,30)	$4\pi/5$	5	(2,2,3,4)	$5\pi/6$	24/5
(2,18,18)	$7\pi/9$	36/7	(2,2,3,5)	$14\pi/15$	30/7

REFERENCES

- [1] R. D. ACCOLA. On the number of automorphisms of a closed Riemann surface. *Trans. Amer. Math. Soc.* **131** (1968), 398–408.
- [2] R. D. ACCOLA. *Topics in the Theory of Riemann Surfaces*. Lecture Notes in Math. **1595** (Springer-Verlag, 1994).
- [3] M. BELOLIPETSKY. On the number of automorphisms of a nonarithmetic Riemann surface. *Siberian Math. J.* **38** (1997), 860–867.
- [4] A. BOREL. Commensurability classes and volumes of hyperbolic 3-manifolds. *Ann. Scuola Norm. Sup. Pisa Cl. Sci. (4)* **8** (1981), 1–33.
- [5] M. D. E. CONDER and R. KULKARNI. Infinite families of automorphism groups of Riemann surfaces. In *Groups and Geometry* (eds. C. Maclachlan and W. J. Harvey), pp. 47–56. *London Math. Soc. Lect. Note Ser.* **173** (Cambridge University Press, 1992).

- [6] J. D. DIXON and B. MORTIMER. *Finite Permutation Groups*. Grad. Texts in Math. **163** (Springer-Verlag, 1996).
- [7] H. M. FARKAS and I. KRA. *Riemann Surfaces*. Grad. Texts in Math. **71** (Springer-Verlag, 1980).
- [8] B. HUPPERT. *Endliche Gruppen I* (Springer-Verlag, 1967).
- [9] B. HUPPERT and N. BLACKBURN. *Finite Groups III* (Springer-Verlag, 1982).
- [10] A. HURWITZ. Über algebraische Gebilde mit eindeutige Transformationen in sich. *Math. Ann.* **41** (1893), 403–442.
- [11] G. A. JONES and D. SINGERMAN. *Complex Function Theory: an Algebraic and Geometric Viewpoint* (Cambridge University Press, 1987).
- [12] S. KATOK. *Fuchsian Groups* (University of Chicago Press, 1992).
- [13] M. KAZAZ. Finite Groups and Surface Coverings. Ph.D. thesis. University of Southampton (1997).
- [14] C. MACLACHLAN. A bound for the number of automorphisms of a compact Riemann surface. *J. London Math. Soc.* **44** (1968), 265–272.
- [15] C. MACLACHLAN and G. ROSENBERGER. Two-generator arithmetic Fuchsian groups, II. *Math. Proc. Camb. Phil. Soc.* **111** (1992), 7–24.
- [16] C.-H. SAH. Groups related to compact Riemann surfaces. *Acta Math.* **123** (1969), 13–42.
- [17] H. A. SCHWARZ. Ueber diejenigen algebraischen Gleichungen zwischen zwei ver-änderlichen Grössen, welche eine Schaar rationaler, eindeutig umkehrbarer Transformationen in sich selbst zulassen. *J. Reine Angew. Math.* **87**, 139–145; *Ges. Math. Abh. II*, pp. 285–291 (1890, reprinted Chelsea, 1972).
- [18] K. TAKEUCHI. Arithmetic triangle groups. *J. Math. Soc. Japan* **29** (1977), 91–106.
- [19] K. TAKEUCHI. Arithmetic Fuchsian groups with signature  $(1; e)$ . *J. Math. Soc. Japan* **35** (1983), 381–407.
- [20] M.-F. VIGNÉRAS. *Arithmétique des Algèbres de Quaternions*. Lecture Notes in Math. **800** (Springer-Verlag, 1980).